IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

: John S. Laudo

Serial No.

: 10/706,263

Filed

: November 12, 2003

Title

: AMPLIFYING WAVELENGTH DIVISION MUX/DEMUX

Docket

: BAT 0064 PA/40078.252

Art Unit

: 2874

Confirm. No.: 6874

United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on February 17, 2004.

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Sir:

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Applicant submits herewith patents, publications, and other information of which he is aware, which he believes may be material, as defined in 37 CFR §1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56(a). While the information referred to in this Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Information Disclosure Statement is not intended to, pursuant to 37 CFR §1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103, and Applicant reserves the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish otherwise. Further, pursuant to 37 CFR §1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

This Information Disclosure Statement is being filed within the period set forth in 37 CFR §1.97(b) because it is believed to be filed before the mailing date of a first office action on the merits.

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The Office has waived the requirement pursuant to 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. Therefore, no copies of each cited U.S. patent and each cited U.S. patent application publication are enclosed, but the cited U.S. patents and the cited U.S. patent application publications are listed on PTO/SB/08A.

Respectfully submitted, DINSMORE & SHOHL LLP

Ву

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known Application Number 10/706,263 Filing Date 11/12/2003 First Named Inventor John S. Laudo Art Unit 2874 **Examiner Name** Attorney Docket Number BAT 0064 PA/40078.252

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code ^{2 (# known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		^{US-} 6,339,662 B1	01-15-2002	Koteles et al.	
		^{US-} 6,330,090 B1	12-11-2001	Martin et al.	
		^{US-} 6,239,891 B1	05-29-2001	Nakama	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ	
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Application Number	10/706,263				
Filing Date	11/12/2003				
First Named Inventor	John S. Laudo				
Art Unit	2874				
Examiner Name		-			
Attorney Docket Number	BAT 0064 PA/40078.252				

			U. S. PATEN	DOCUMENTS		
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		^{US-} 4,387,955	06-14-1983	Ludman et al.		
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		WEIBIN HUANG AND RICHARD R.A. SYMS, ANALYSIS OF FOLDED ERBIUM-DOPED PLANAR WAVEGUIDE AMPLIERS BY THE METHOD OF LINES, JOURNAL OF LIGHTWAVE TECHNOLOGY, December, 1999, pgs. 2658-2664, Vol. 17, No. 12	
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Signature Considered	Examiner	Date
	Signature	Considered

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